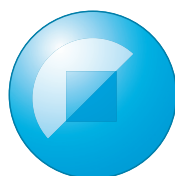
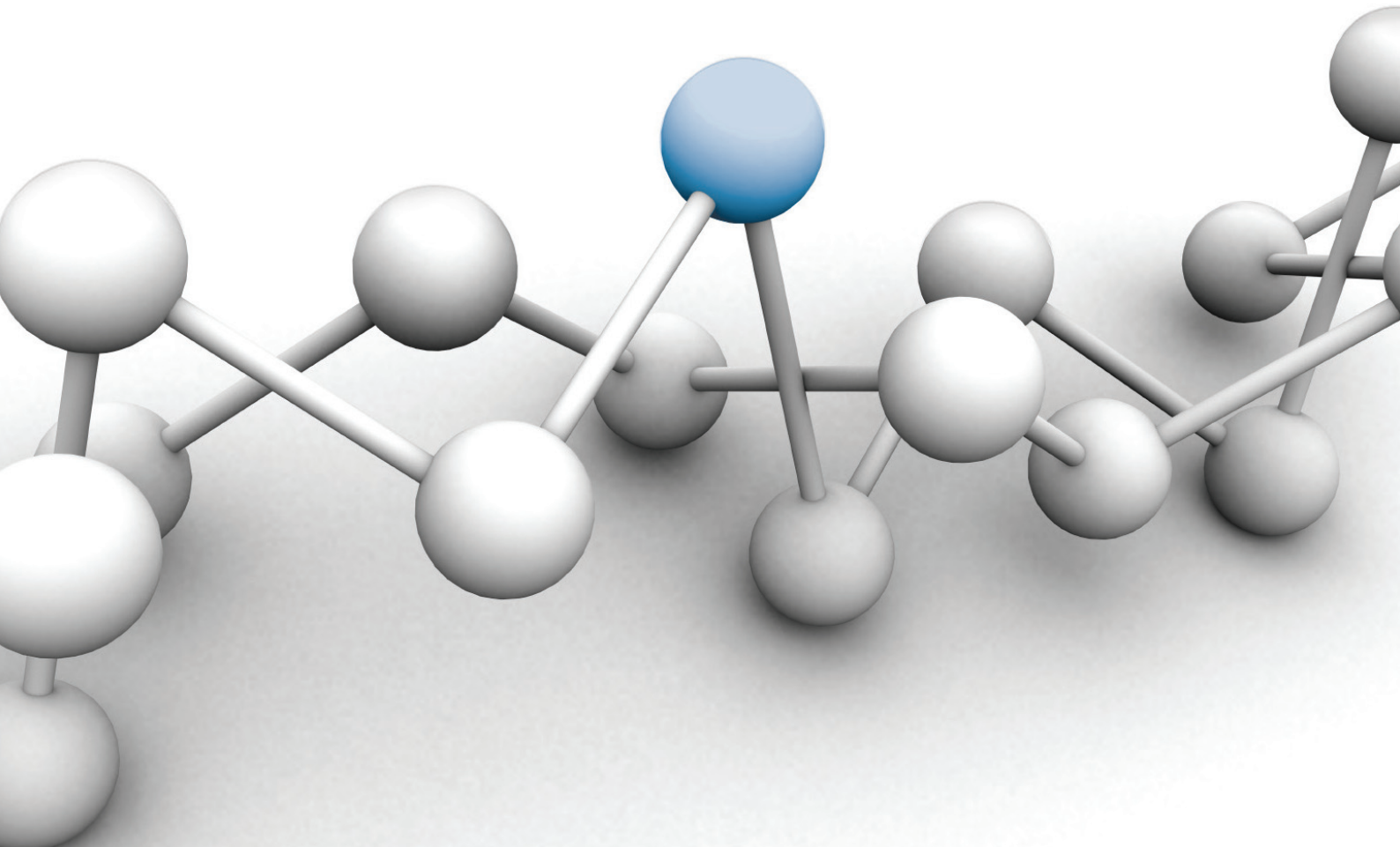


International Compliance Association

Excellence through Education



ICA International Diploma in Anti Money Laundering

Improve your
knowledge,
minimise your risk

An internationally recognised professional
qualification awarded in association with the
University of Manchester Business School

An Introduction to the ICA International Diploma in Anti Money Laundering

As a professional in the field of anti money laundering and fraud prevention, you are already well aware of the benefits of and the regulatory requirements for training in the industry. The fast changing environment has given rise to an entire money laundering prevention training industry offering a wide variety of options. The ICA is amongst the foremost and one of the very few that provides an international perspective on anti money laundering. By offering certificated qualifications awarded in association with the University of Manchester Business School, the ICA is confident that its graduates are well equipped to deal with the anti money laundering issues that arise in their day to day activities.

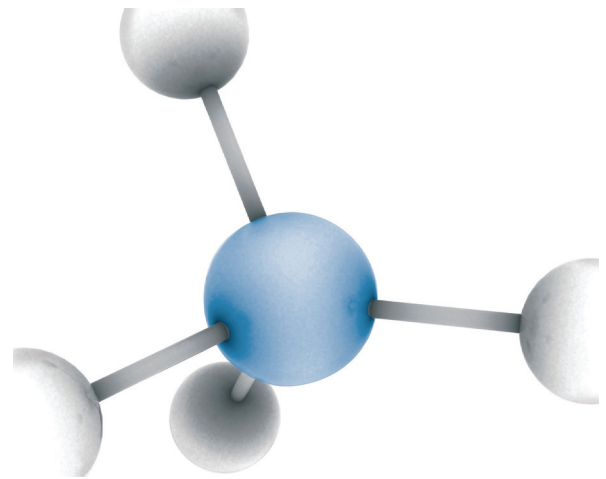
The ICA International Diploma in Anti Money Laundering is unique. It is designed for anti money laundering professionals who already have a degree of experience. The Diploma provides detailed and comprehensive knowledge as well as practical skills in order that financial services practitioners can undertake their responsibilities more efficiently and effectively. By drawing upon international best practice, it helps prepare individuals to adapt to the external environment.

Written and delivered by experienced industry practitioners, the Diploma is both relevant and topical. Subjects included in the programme are explored in-depth with extensive course material that can be used in the workplace. The Diploma will help you develop best practice initiatives and prepare you to face present and future challenges, which in turn minimises risk for your firm.

This certificated programme is a benchmark qualification, illustrating the highest standards in anti money laundering practice. The Diploma is awarded in association with the prestigious University of Manchester Business School. Attainment of the qualification is an internationally recognised mark of excellence. The Diploma provides valuable reference material and support for those in the anti money laundering environment. Those who have undertaken the Diploma say that their performance in the workplace improves and opportunities for career progression have been enhanced.

"I think it is the best AML training/course that you can find in Europe. I highly recommend the course."

Anna Pilch, Ireland



"The International Diploma in AML has established a standard of excellence, professionalism and knowledge that others will be hard-pressed to adopt without it."

Jarion Richardson, Bermuda

Key information on the ICA International Diploma in Anti Money Laundering follows. To find out more visit www.int-comp.org or email ict@int-comp.com

Key Features

- A graduate level programme resulting in a professional qualification
- Awarded in association with the University of Manchester Business School
- 9 - 12 month duration
- Comprehensive, detailed course materials that can be used in the workplace
- Written by experienced industry practitioners
- Internationally focussed
- Detailed support readings and texts
- Extensive online resource materials
- Dedicated tutor support
- Variety of study options available

Key Benefits

- Improved knowledge, developed skills
- Reduced reputational and financial risk for firms
- Enhanced workplace performance
- Allows use of the designation Int. Dip (AML)
- Potential for career progression
- Professional membership of the International Compliance Association
- Verifiable evidence of learning

Programme Study Options

The Diploma is available through public courses, distance learning, in-house and for very experienced practitioners, by exam only.

Public Courses

The public courses, which comprise four full-day workshops are usually very popular. They have a limited number of places at any one time in order to maximise the learning experience. Workshops provide an additional opportunity for interaction and networking.

Minimum numbers in any jurisdiction must be met in order for public courses to run. Where a public course does not meet the required number, individuals who have enrolled will be invited to transfer onto the distance learning option.

Distance Learning

Distance learning is for those who are resident in a jurisdiction where public courses are not running. An exercise work book is included in course materials to ensure as thorough an education as is possible. This is supplemented still further with on-line testing and presentations on studying specific modules that can be downloaded. Those who choose this study option can also be allocated a mentor and receive additional support from a dedicated tutor.

In-house Courses

All of the ICA programmes can be delivered in-house. There are many advantages to this including a reduction in price per person and the option to include processes and procedures that are unique to your firm in workshop discussions.

Fees vary depending on the number of individuals undertaking the training, where it is to take place and the course being studied. We can also put together a bespoke programme that meets your specific needs. For more information about ICA programmes delivered inhouse or for something tailored for you, contact Helen on hlangton@int-comp.org

Exam Only

Those who are very experienced practitioners and hold appropriate qualifications may be eligible for the exam only option. Such individuals are exempt from attending workshops and from submitting assignments. Those who select this option are provided with manuals, support readings and texts, and their achievement is measured on the basis of their examination performance. However, the International Compliance Association always recommends undertaking the fullest programme available to ensure the best possible learning experience.

Summary of Study Format

All who enrol onto the Diploma will receive:

- Comprehensive course manuals, support readings and texts
- On-line support including webinars
- Sample assessments
- Study Handbook

Course Assessment

- 2 pieces of assessed work are required, contributing towards the final grade
- A 3 hour 15 minute open-book examination

Admission Requirements

The ICA International Diploma in Anti Money Laundering has a practical focus and is designed for individuals who intend to carry out a senior role in money laundering prevention, or work within the fraud prevention function. The entry criteria are:

- Sound educational background, e.g. degree or professional qualification in an appropriate discipline **or**,
- Attainment of the ICA Certificate in Anti Money Laundering Awareness (International or UK) **or**,
- Three years relevant work experience
- All applicants need the ability to complete the reading and written aspects of the course in English

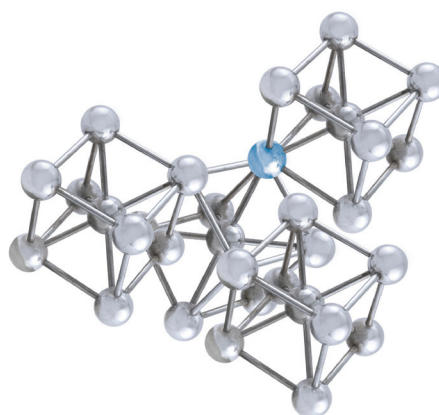
If you would like to discuss the Diploma and how it might fit in with your current role and career aspirations, contact the International Compliance Association on +44 (0) 121 362 7534 for an informal discussion with the Course Director.

Fees

Fees for the programme vary depending on the delivery option. Course fees are subject to local taxes where applicable. Prices quoted are in GBP Sterling. US dollars accepted at the equivalent rate. Full details are available from the International Compliance Association website www.int-comp.org. Fees for in-house delivery vary depending on the number undertaking the programme and in which jurisdiction. For full details and information on stage payment plans, please contact the International Compliance Association.

How to Apply

Once you have studied the syllabus, you can apply on-line at www.int-comp.org or complete the enrolment form available from the website and return it to the International Compliance Association.



Professional Qualification Award

The ICA International Diploma in Anti Money Laundering is awarded in association with the University of Manchester Business School. Quality assured by the University, the programme is at honours degree level, which designates the programme “Graduate Diploma”. The Diploma has been mapped in accordance with the Higher Education Qualification Framework. Furthermore, a number of universities may give credit for the work that is completed on the Diploma against other and possible masters level qualifications. For further information, visit the International Compliance Association website.

Who the Diploma will Benefit

The ICA International Diploma in Anti Money Laundering is a valuable tool for:

- Money Laundering Reporting Officers and Deputies
- Money Laundering Prevention Officers and Deputies
- Managers with responsibility for internal anti money laundering and / or compliance controls
- Risk Managers
- Internal and external audit staff
- Regulatory representatives
- Accountants
- Lawyers
- Company Secretaries
- Anyone who requires an in-depth knowledge of the fundamental regulatory principles in relation to money laundering reporting and prevention

Duration of Programme

We understand the nature of the work / life balance. With that in mind we have structured the programme to allow for maximum flexibility, accommodating the demands of family, career and study. If 6 hours of study are undertaken per week, the ICA International Diploma in Anti Money Laundering can usually be completed in 12 months.

Diploma Programme Summary

The ICA International Diploma in Anti Money Laundering is comprehensive and reflects the regulatory environment today. The programme focuses on relevant international best practice, legislation and regulations. It examines various strategies, frameworks and vulnerabilities. Elements involved in the reporting of suspicious transactions are explored. Internal controls are considered including the design of a money laundering prevention framework. With course materials that are regularly updated, the Diploma focuses on current major issues that impact upon the money laundering prevention role.

By the end of the Diploma, you will have a clear understanding of the anti money laundering function and the surrounding context. A wider awareness of the international arena is given in readiness for future development. Knowledge gained can be used to measure practices and tailor them to meet future challenges.

A summary of the course content follows:

Unit 1: International Anti Money Laundering/Anti Terrorist Financing Strategies

- International and regional bodies
- International initiatives

Unit 2: National Anti Money Laundering Frameworks

- Domestic anti money laundering models
- Alternative international AML models

Unit 3: What is Money Laundering?

- The process of money laundering
- Limitations of the staged interpretation of money laundering
- Vulnerabilities of specific services and products
- Counter terrorist financing

Unit 4: The Duties and Responsibilities of the Money Laundering Reporting Officer (MLRO)

- The role of the MLRO
- Generating management information
- Common MLRO problems

Unit 5: Recognition, Handling and Reporting Transactions

- Designing an effective internal reporting system
- Making a Suspicious Transaction Report (STR) to law enforcement
- Sanctions risk and compliance

Unit 6: Post-reporting Considerations

- Handling the risk of committing the tipping off offence
- Terminating relationships
- Subsequent client review techniques
- Secure record retention

Unit 7: Dealing with the Authorities

- Law enforcement consent
- Managing the risk of document destruction and creation
- Production, restraint and confiscation orders
- Civil asset recovery

Unit 8: Designing a Comprehensive Anti Money Laundering Framework for a Financial Services Business

- Formulating an AML policy
- Drafting an AML handbook

Unit 9: Customer Due Diligence (CDD) in Practice and Risk Profiling

- Taking a risk-based approach to CDD
- Monitoring client activity
- International initiatives

Unit 10: Engendering an effective compliance culture and maintaining a high level of awareness

- Common cultural barriers
- Staff training

Membership of the International Compliance Association

Upon successful completion of the ICA International Diploma in Anti Money Laundering, an invitation is extended to become a member of the International Compliance Association and use the designation MICA, (Member International Compliance Association). After a further 5 years professional experience, Members of the International Compliance Association are invited to apply for Fellowship status and use the designation FICA, (Fellow International Compliance Association). For more information on ICA Membership please visit the ICA Website.

International Compliance Association

The ICA is a professional, awarding body with a global membership. Since it was founded in 2001, thousands of individuals have completed ICA's diploma and certificate programmes all over the world. ICA's internationally recognised qualifications are a benchmark of professionalism, competence and excellence. The ICA is the government appointed Lead Provider of Financial Industry Competency Standards, accredited training and assessment programmes in the field of compliance in Singapore. The ICA helps businesses, regulatory authorities, government agencies and clients promote best practice through education, dialogue, information exchange and detailed resource material. The ICA aims to help improve the performance of individuals, firms and the industry as a whole.



Details of other Qualifications Available

The International Compliance Association delivers a wide range of qualifications, appropriate to the financial services sector worldwide. Certificate programmes are designed for entry-level staff, new to a particular discipline. Content focuses on awareness and on the introduction of the key issues involved. These programmes form a solid foundation for future learning. Advanced programmes are available for those who wish to develop their understanding still further. Diploma programmes are designed for more senior practitioners, those who have already amassed a level of experience. Certificate, Advanced and Diploma programmes cover the following subjects:

- Anti Money Laundering
- Compliance
- Financial Crime Prevention

The International Compliance Association and Standards of Competence

ICA qualifications are awarded in association with University of Manchester Business School and are mapped into The National Occupational Standards of Competence. These standards, created by the UK Financial Services Skills Council, (FSSC), are in Anti Money Laundering, Compliance and Countering Financial Crime. All ICA Diplomas are recognised by the FSSC at the highest level. They are classified as 'recommended' on the FSSC official Examination List. Full details can be found at www.fssc.org.uk.

Additionally, ICA qualifications are recognised by regulators and education authorities globally as a benchmark of competence and excellence.

University of Manchester Business School

Manchester Business School is the largest business and management school in the UK, offering an impressive range of programmes and services to both students and businesses. We provide world-class business and management education to undergraduates, postgraduates, highly experienced practitioners and people wishing to pursue academic careers. We compete on a global scale for the best students and staff, and will continue to generate ground-breaking research that is recognised by peers and practitioners across the globe.



For more information:

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